Commonwealth of Virginia Department of Professional and Occupational Regulation 9960 Mayland Drive, Suite 400 Richmond, Virginia 23233-1485 (804) 367-8511 www.dpor.virginia.gov



## Board for Contractors CHANGE IN LICENSE CLASS APPLICATION

## A check or money order payable to the <u>TREASURER OF VIRGINIA</u>, or a completed <u>credit card insert</u> must be mailed with your application package. APPLICATION FEES ARE NOT REFUNDABLE.

FINANCIAL REQUIREMENTS: Class A & Class B Applicants

Class A & Class B Applicants must provide proof of financial responsibility. Class A applicants must document \$45,000 in net worth/equity. Class B applicants must document \$15,000 in net worth/equity. Applicants who do not meet these requirements <u>may</u> qualify for a Class C license.

аррисан	ts must documen	it \$15,000 in net w	orth/equity. Applicants			•	115 1116	<u>ay</u> qu	ally ior	a Class	Clice	inse.			
		[	Select the	<u>one</u> lice	ense type i	requested.	Dee		tation						
			- Change an existing	g Licens		Financial Included									
			A (from a Class B or C)	9050	\$385.00*	🗌 Financi	al Sta	atem	ent For	rm					
		Class E	3 (from a Class A)	9052	\$370.00*	CPA re	view/	audi	t						
		Class E	3 (from a Class C)	9051	\$370.00*	Surety	Bond	For	n						
			C (from a Class A or B)		\$235.00*	N/A									
		* License	fee may be adjuste	ed per d	esignatio	n selection.	(See	ques	tion #13	3.A.)					
1.	Provide your	<u>current</u> *Virgini	a Contractor's licen	ise issu	ed by the	board	2	7							
	* If you cu	rrently do <u>not</u> hol	d a valid Virginia Cor	ntractor's	s license, y	/ou <u>can not </u>	proc	eed v	with th	is appl	icatio	<u>)n</u> .			
2.	<ul> <li>Business Entity/Sole Proprietor Name</li> <li>A sole proprietor should enter his/her full legal name and the company name should be entered below as the assumed/fictitious name. All names must be the same as displayed on government issued ID or organization/business documents.</li> </ul>														
3.	Assumed or F	ictitious Name	•												
	§ <u>59.1-69</u> of	the Code of Virgini	e is to be used, a copy a must be attached to t			I with the Virgi	nia St	tate C	Corporat	tion Con	nmissi	on (S	;CC) p	ursuant	: to
4.	A. Type of	business entity	(select only <u>one</u> )												
	Sole Sole	Proprietorship	General Part	nership	Sole	ly Owned LL	С		Corpo	ration					
	🗌 Limit	ed Partnership	Limited Liabi	lity Com	pany 🗌	Other, plea	ase s	pecif	y:						
		ssociation, Busines al Limited Liability (	s Trust, Government Ag Company.	jency, Joi	int Venture,	Limited Liabili	ty Par	tners	hip, Nor	n Profit,	Profes	siona	I Corp	oration,	or
	B. State Co	prporation Com	mission (SCC) Nun	nber:			(	lf ap	plicable	e)					
	<ul> <li>All businesses in Virginia must be registered with the SCC (including all out-of-state businesses). Firms/Businesses shall be organized as business entity under the laws of the Commonwealth of Virginia or otherwise authorized to transact business in Virginia. No <i>person, partnership, limited liability company or corporation</i> shall conduct or transact business in this Commonwealth under any assumed or fictitious name unless register with the Virginia SCC.</li> <li>For additional information, contact the SCC at <u>www.scc.virginia.gov</u> or by phone at (804) 371-9733.</li> </ul>														
5.	Provide one o	of the following	identification numb	ers*:											
	Busines	s Federal Employ	ver Identification Num	nber (Ell	V) 🋠		] -						7		
			es verification from the	`	,										
			al's Social Security N			,		] -		-					
			lotor Vehicles Contro										1		
055105	DATE	FEE	TRANS CODE	ENTITY #	ŧ	-	FILE	E #/LICE	ENSE #		· · · ·	$\overline{}$	ISSL	JE DATE	٦
OFFICE USE ONLY							2705								
DOADD	5	SCC	ETS	CL	ASS A	CLASS	В		VI	IRGINIA			TECHN	ICAL	$\neg$
BOARD USE ONLY															
501 27									Poord f	or Contr	actora			100 A	

> Enter the same identification number as used on previous applications or licenses on file with the department.

\* State law requires every applicant, who is not a sole proprietor or solely owned LLC, to provide a federal employer identification number. Sole proprietor or solely owned LLC who do not have a FEIN must provide a social security number or a control number issued by the Virginia Department of Motor Vehicles.

6.	Mailing Address (PO The mailing addres printed on the lic	ss will be				
	printed on the lic	(	City		State	Zip Code
7.	Street Address (PO Box <u>not</u> accepted) PHYSICAL ADDRESS REQUIRED			Check here if Street Address is the same as the Mailing	Address listed abov	/e.
		ō	City		State	Zip Code
8.	Contact Numbers					
	-	Primary Telephon	ne	Alternate Telephone	F	ax
9.	Email Address					

Email address is considered a public record and will be disclosed upon request from a third party.

10. Does your **Business, Designated Employee, Qualified Individual(s) or** any member of **Responsible Management** have a <u>current</u> or <u>expired</u> contractor's license, certification or registration from any jurisdiction (outside of Virginia)?

- No 🗌
- Yes If yes, complete the following table.

Business/Individual Full Legal Name	State/ Jurisdiction		Expiration Date		

11. List <u>all</u> **Responsible Management** (sole proprietor, partners of a general partnership, managing partner of a limited partnership, officers/directors of an association, managers/members of a limited liability company, or officers of a corporation):

Individual's Full Legal Name Title		Address	Social Security No. or VA DMV Control No.*	Date of Birth

**<u>Required Documentation</u>**: Must attach a <u>legible</u> copy of a government issued photo ID for <u>all</u> members of Responsible Management.

12. All Class C applicants, skip to question #13.

All Class A & Class B license applicants must declare a **Designated Employee** who has successfully completed the appropriate business examinations and is either a full-time employee (not a 1099 employee) of the business or a member of Responsible Management. For licensure information, contact the Board for Contractor's by phone at 804-367-8511 or email at <u>contractor@dpor.virginia.gov</u>.

**<u>Required examinations per class</u>**: <u>Class A</u> - Advanced, General, and Virginia exam; and <u>Class B</u> - General and Virginia exam.

Complete the following information for the **Designated Employee** of this business:

Full Name

Date of Birth

**Required Documentation**: If the Designated Employee is <u>not</u> a member of Responsible Management, attach a <u>legible</u> copy of a government issued photo ID <u>and</u> provide fulltime employment verification (I9, W2, or other similar documentation).

Provide either Social Security No. or VA DMV Control No.\*:

Exam Date



- Below is a chart listing the license classifications and specialty designations issued by the Virginia Board for Contractors. A definition of the type of work that each of these classifications and designations may perform is available in the <u>Board for Contractors Regulations</u>.
  - Each business will select a classification/specialty designation for which they are applying for and provide <u>one</u> Qualified Individual for each designation in section A or B below. The Qualified Individual must meet the following criteria:
    - 1. Must be either a fulltime employee of the business (working a minimum of 30 hours or more with the business) or who is listed as a member of Responsible Management.
    - Must have the minimum years of experience in the classification or specialty they are applying 2 years for a Class C License, 3 years for a Class B License and 5 years for a Class A License. An <u>Experience Verification Form</u> must be submitted for each Qualified Individual who is requesting a designation that requires pre-approval for an examination.
    - 3. Have successfully completed the appropriate prerequisite for the classification or specialty designation selected below. The prerequisite for each is listed in the box below. For more information on these please see the Requirements for the Qualified Individual Form.

Applic	ants must hold a Certification for	the follo	wing classification and/or speci	<u>alty:</u>				
BEC SPR								
Applic	ants must hold a valid license iss	ued fror	n DPOR for the following design	ation:				
ASB	Asbestos	GFC	Gas fitting	PLB	Plumbing			
ASC	Accessibility Services	HVA	HVAC	SDS	Sewage disposal system			
ASL	Accessibility Services with LULA	LAC	Lead abatement	WWP	Water well/pump			
ELE	Electrical	LPG	Liquefied petroleum gas					
EEC	Elevator/escalator	NGF	Natural gas fitting provider					
* Applicants are required to be pre-approved and pass an examination for the following classification and/or specialty:								
AES	Alternative energy systems	FAS	Fire alarm systems	BRK	Masonry			
PAV	Asphalt paving & seal coating	FSP	Fire suppression	PTC	Painting & wall covering			
BSC	Billboard/sign	FLR	Flooring & Floor Cover'g Contract	cting RFC	Recreational facility			
CBC	Commercial Building	FRM	Framing Sub Contractor	REF	Refrigeration			
CIC	Commercial improvement	GLZ	Glass & Glazing Contracting	RBC	Residential Building			
CEM	Concrete	H/H	Highway/heavy	ROC	C Roofing			
DLR	Drug, Lab, Remediation	HIC	Home Improvement	STL	Steel Erection Contracting			
DRY	Drywall Company	IBC	Industrial building contracting	POL	Swimming pool construction			
ESC	Electronic/communication service	INS	Insulation & Weather Stripping	TMC	Tile, Marble, Ceramic			
EMW	Environmental monitoring well		Contracting		& Terrazzo Contracting			
ENV	Environmental specialties	ISC	Landscape irrigation	UUC				
EMC	Equipment/machinery	LSC	Landscape services		& Excavating Contracting			
FIC	Farm improvement	MCC	Marine facility	VCC	Vessel construction			
FIN	Finish Carpentry Contracting							
	* All qualified individuals mu	st subn	nit an <u>Experience Verification F</u>	orm for t	these designations.			

MSC Miscellaneous Contracting

A. Are you applying for a Commercial Building Contractor (CBC) classification, and/or a Commercial improvement (CIC) specialty; *with no other* classification/specialty requested for this license?

No If no, complete section 13.B.

Yes If yes, complete the following table\*: (Do not complete question #13.B.)

\* Modification to your application fee is as follows: Class A: \$360.00\*\* Class B: \$345.00\*\* Class C: \$210.00\*\*

Select	3-letter Code	Last Name	First Name	MI	Years of Exp.	Social Security No. or VA DMV Control No. *	VA Qualifying License No. (if applicable)	Birth Date
	CBC							
	CIC							

Required Attachment: Complete an Experience Verification Form for each Qualified Individual listed in this table.

B. If you answered "no" in Section A, select <u>all</u> the license classification and specialty designations you are requesting for this license:

(This section can include CBC/CIC designation, but only if your request includes other classification/specialties. There is <u>no fee reduction to</u> <u>your application fee</u>. Contractor's Recovery fund fee is required for all other classification/specialty designations.)

3-letter Code	Last Name	First Name	MI	Years of Exp.	Exam Date	Social Security No. or VA DMV Control No.*	VA Qualifying License No. (if applicable)	Birth Date

> Any business requesting a license may have more than one classification or specialty designation.

**<u>Required Attachment:</u>** Complete an <u>Experience Verification Form</u> for each Qualified Individual who is seeking pre-approval for a designation that requires an examination (only). <u>IF applying for the MSC specialty</u>, provide the Board for Contractors with all required documentation to support your request for this designation.

14. Has this **Business, Designated Employee, Qualified Individual(s) or Responsible Management** ever been subject to a <u>disciplinary action</u> taken by <u>any</u> (including Virginia) local, state or national regulatory body?

No	
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- Yes If yes, complete the Disciplinary Action Reporting Form.
- 15. A. Has this **Business, Designated Employee, Qualified Individual(s) or Responsible Management** ever been convicted or found guilty, regardless of the manner of adjudication, in any jurisdiction of the United States of any <u>felony</u>? Any plea of nolo contendere shall be considered a conviction.
  - No 🗌
  - Yes If yes, complete the Criminal Conviction Reporting Form.
  - B. Has this **Business, Designated Employee, Qualified Individual(s) or Responsible Management** been convicted or found guilty, regardless of the manner of adjudication, in any jurisdiction of the United States of any **misdemeanor** within the last 3 years? *Any plea of nolo contendere shall be considered a conviction.*

No
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- Yes If yes, complete the Criminal Conviction Reporting Form.
- 16. During the past five years, has any member of **Responsible Management** ever had any <u>outstanding/past-due debts</u> (including child support arrearage); judgments; liens; past due 'unpaid' claims or suits; outstanding tax obligations; defaults on bonds; or pending/past bankruptcies?
  - No 🗌
  - Yes If yes, complete the <u>Adverse Financial History Reporting Form.</u>
- 17. Do all members of **Responsible Management** understand that all Class A, Class B and Class C Contractors must comply with the local licensing requirements of all counties, cities and towns in which work is performed?
  - No 🔲 IF NO, THIS APPLICATION CANNOT BE PROCESSED.
  - Yes 🗌

18. <u>Class A & Class B applicants only:</u>

Does this business/firm meet the minimum net worth/equity requirements? (At least \$15,000 for Class B applicants or \$45,000 for Class A)

- No If no, the firm may qualify for a Class C license.
- Yes If yes, the firm is required to submit a complete (a) <u>Financial Statement Form</u>, (b) CPA review/audit, **OR** (c) <u>Surety Bond Form</u> with this application.

By signing this application, you acknowledge that if you are not a Virginia resident, or move outside of Virginia while you hold a Virginia Contractors License, you understand that this application serves as a written power of attorney, whereby you appoint the Director of the Department of Professional and Occupational Regulation, and his/her successors in office, to be your true and lawful agency and attorney-in-fact, in your stead, upon whom all legal process against and notice to you may be served and who is hereby authorized to enter an appearance on your behalf in any case or proceedings arising out of the trade or profession practiced; and that by submitting this application, you hereby agree that any lawful process against you which is duly served on said agent and attorney-in-fact shall be of the same legal force and validity as if served upon you.

- 19. By signing this application, I certify the following statements:
  - I am aware that submitting false information or omitting pertinent or material information in connection with this application will delay processing and may lead to license revocation or denial of license.
  - I will notify the Board of any changes to the information provided in this application prior to receiving the requested license, certification, or registration including, but not limited to any disciplinary action or conviction of a felony or misdemeanor (in any jurisdiction).
  - I authorize the Department to verify information concerning me or any statement in this application from any person, or any source the department may desire. I also agree to present any credentials or documents required or requested by the Department.
  - I authorize any federal, state or local government agency, current or former employer, or other individual or business to release information which may be required for a background investigation.
  - I have read, understand and complied with all the laws of Virginia related to this profession under the provisions of Title 54.1, Chapter 11, of the Code of Virginia and the Virginia Board for Contractors Regulations.

## Signature(s) of all members of Responsible Management (required):

(sole proprietor, partners of a general partnership, managing partner of a limited partnership, officers/directors of an association, managers/members of a limited liability company, or officers of a corporation)

I certify that I am a member of responsible management as defined in 18VAC50-22-10 of the Board for Contractors regulations and am authorized to bind the applicant to contracts and other legal obligations.

1.	Print Name		Title	
	Signature			Date
2.	Print Name		Title	
	Signature			Date
3.	Print Name		Title	
	Signature			Date
4.	Print Name		Title	
	Signature			Date
		(Photocopy this shoot if additional signatures are pooled.)		

(Photocopy this sheet if additional signatures are needed.)

Signature of Designated Employee:			esignated Employee:	(Who is listed on this applications and not a member of Responsible Management)			
	1.	Print Name		Title			
		Signature		Date			
	<u>Si</u>	gnature(s) of	Qualified Individual:	(Who are listed on this application and not a member of Responsible Management)			
	1.	Print Name		Title			
		Signature		Date			
	2.	Print Name		Title			
		Signature		Date			
			(Photocopy this sheet i	if additional signatures are needed.)			
AT	ТАСНІ	MENTS: (Che	ck all attachments/docu	mentation included with this application)			
	Attach a	copy of Governr		each member of <b>Responsible Management, Designated Employee</b> , and all <b>Qualified</b>			
	•		yee or Qualified Individual sible Management.	I listed on this application must submit verification of employment (I9, W2 or others) if			
			1	/fictitious name must <u>attach a copy of the certificate</u> filed with the Virginia State 9 of the <i>Code of Virginia</i> question #3.			
	Attached	d documentation	verifying business FEIN nur	mber - question #5.			
	Designa	ated Employee	completed the business exa	mination? - question #12			
	Qualifie	<b>d Individual(s)</b> r	must attach a copy of any ce	ertifications - if required - question #13.B.			
	•			quest for the <b>Miscellaneous Contracting (MSC)</b> designation shall be submitted with a single activity and will be restricted to that specialty only - question #13.B			
	All appli <u>OR</u> (c)	cants for Class A Surety Bond Forr	or Class B license types m n question #18	nust submit ONE of the following: (a) Financial Statement Form, (b) CPA review/audit			
	-		<b>Form</b> completed for each <b>(</b> questions #13.A or 13.B.	Qualified Individual who is seeking pre-approval for an examination (only) per the			
	All disclosure forms and supporting documentation - questions # 14-16						